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PTO/SB/05 (12/97)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No.	SJ0920030067US1	Total Pages	43
First Named Inventor or Application Identifier					
Joseph J. Fatula, Jr.					
		Express Mail Label No.	ER211527875US		

U.S. PTO
10/7/35938
121503

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>		Commissioner for Patents ADDRESS TO: Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450			
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification (Total Pages) <u>34</u> (Preferred arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Application - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Total Pages) <u>7</u></p> <p>4. Oath or Declaration (Total Pages) <u>2</u></p> <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) <p>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> Incorporation by Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>		<p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identify of above copies 			
ACCOMPANYING APPLICATION PARTS					
<p>8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>14. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application, Statement(s) Status still proper and desired</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) if foreign priority is claimed</p> <p>16. <input checked="" type="checkbox"/> Other: Express Mail Certificate</p>					

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior application No.:

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 36491
 Or Correspondence address below

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Assignee Name: INTERNATIONAL BUSINESS MACHINES CORPORATION

Assignee Residence: Armonk, New York

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FEE TRANSMITTAL

Note: Effective October 1, 2001.
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	\$ 1334	Attorney Docket Number	SJO920030067US1
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Complete If Known

Application Number	Not Available
Filing Date	December 15, 2003
First Named Inventor	Joseph J. Fatula, Jr.
Group Art Unit	
Examiner Name	

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)		
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	3. ADDITIONAL FEES		

Deposit Account Number: 09-0466Deposit Account Name: IBM CORPORATION

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Charge the Issue Fee In 37 CFR at the Mailing of the Notice of Allowance

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION

1. FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	<u>770</u>
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

2. CLAIMS

Total Claims	30	-20 =	10	Extra	Fee from below	Fee Paid
Ind. Claims	7	- 3 =	4	x	18	<u>180</u>
Multiple Dep. Claims			0	x	86	<u>344</u>

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim

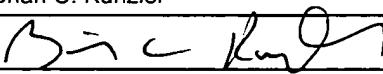
SUBTOTAL (2)

\$ 524

*Reduced by Basic Filing Fee

SUBTOTAL (3)

\$ 40

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name				Reg. Number	38,527
Signature		Date	Dec 15, 2003	Deposit Account User ID	

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PATENT APPLICATION
Docket No.: SJO920030067US1

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: December 15, 2003

I hereby certify that this patent application in the name of Joseph John Fatula, Jr. for APPARATUS, SYSTEM, AND METHOD FOR AUTONOMIC CONTROL OF GRID SYSTEM RESOURCES, together with the drawings, a Declaration, Power of Attorney, and Petition, an Assignment and Recordation Form Cover Sheet, Information Disclosure Statement, PTO Form 1449, and Copies of Cited References are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,



Brian C. Kunzler
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Attorney for Applicant

Date: December 15, 2003

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